

Name _____, Title _____ of _____ Applicant

a _____ State of Business Formation _____, Structure, "Company" _____, applicant of 7(a) or 504 Loan Program,

certifies that none of the Beneficial Owners are ineligible persons and must include a Lawful Permanent Resident's alien registration number as applicable.

____ I certify that none of the direct and indirect owners of the Applicant, OC, and EPC, and all SBA-required guarantors, including entities of the Applicant, OC, and EPC are Ineligible Persons. An Ineligible Person includes but is not limited to: (1.) Undocumented aliens who are in the U.S. illegally; (2.) Individuals granted asylum, refugees, visa holders, nonimmigrant aliens under 8 U.S.C. § 1101(a)(15), or those under Deferred Action for Childhood Arrivals (DACA) (Note: for purposes of the 5% foreign ownership exception set forth in the subsequent bullet, individuals with Conditional LPR status who fall into any of the categories in this subclause are not considered an Ineligible Person); (3.) A business concern or entity that was created, organized, or incorporated outside of the United States, its territories, or possessions; or (4.) An individual who has a Principal Residence in or is a citizen of the People's Republic of China, or is an individual who has a Principal Residence in or is a citizen of the Special Administrative Region of Hong Kong; or (5.) An individual, business concern, or entity on the Office of Foreign Assets Control (OFAC) sanctions list (See SOP 50 10).

____ I certify that all direct and indirect owners of the Applicant, OC, and EPC, and all SBA-required guarantors, including entities, are U.S. citizens, U.S. Nationals, or Lawful Permanent Residents (LPRs) that have a Principal Residence (as defined by IRS publication 523) in the United States, its territories, or possessions, and all entity owners were created, organized, or incorporated in the United States, its territories, or possessions, except for the following, who may have no more than 5% aggregate ownership: (a) foreign nationals living outside the U.S.; (b) U.S. Citizens, U.S. Nationals, or LPRs whose Principal Residence is outside the United States, its territories, or possessions; and (c) Aliens with Conditional LPR status.

____ Date _____

____ Applicant _____

____ By _____

____ Name/Title _____

Owner who is a Lawful Permanent Resident please provide a copy of the Green Card.

Owner Name:	% Owned
USCIS Registration Number:	
Country of Citizenship:	

Owner Name:	% Owned
USCIS Registration Number:	
Country of Citizenship:	

Owner Name:	% Owned
USCIS Registration Number:	
Country of Citizenship:	

Owner Name:	% Owned
USCIS Registration Number:	
Country of Citizenship:	

Please complete Affidavit for each borrowing entity included in the SBA application.